

Whistleblower Policy

GOVERNANCE AND LEGAL

Effective Date: June 1,
2012

Date Revised: June 1,
2012

Supersedes:

Related Policies:
Code of Ethical Conduct
Policy on Professional
Standards and Business
Conduct

Responsible
Office/Department:
Audit and Advisory
Services
Office of the General
Counsel

Keywords: Misconduct;
conflict of interest;
reporting concerns;
whistleblower;
retaliation

I. Purpose and Scope

Northeastern University strives to operate in an ethical, honest, and lawful manner and expects its faculty, administrators, staff and students to conduct their activities in accordance with University policies and applicable law.

This policy applies to all members of the university community.

II. Definitions

N/A

III. Policy

The University strongly encourages all faculty, administrators, staff and students to report suspected or actual wrongful conduct through channels that the University establishes for such reporting. No University faculty, administrator, staff or student may interfere with the good faith reporting of suspected or actual wrongful conduct; no individual who makes such a good faith report shall be subject to retaliation, including harassment or any adverse employment, academic or educational consequence, as a result of making a report. The University will take whatever action is necessary and appropriate to address a violation of this policy.

IV. Additional Information

All members of the University community may also submit any concerns confidentially and anonymously to the Compliance Hotline, which is managed by EthicsPoint, an independent third party company. To report a concern to EthicsPoint, call 1-855-350-9390 or go to northeastern.ethicspoint.com. **Approved by the Board of Trustees on June 1, 2012.**

V. Contact Information

For questions about this policy or for information about established channels for reporting wrongful conduct and/or compliance concerns, including anonymous reporting, please contact the Audit and Advisory Services (617-373-4041) or the Office of the General Counsel (617-373-2157).